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Dated: April 1, 2004

Signature:

(Richard H. Anderson)

Docket No.: 30538/38451

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Allan Timms et al.

Application No.: 10/613,303

Confirmation No.: 4307

Filed: July 3, 2003

Art Unit: 1626

For: F

PROCESS FOR THE PRODUCTION OF

SUBSTITUTED THIOXANTHONES

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR 1.97, Applicant(s) hereby make of record the following additional documents. A PTO Form SB/08 and a full copy of each of these documents accompany this statement.

Applicant(s) have become aware of the following documents, cited in an International Search Report issued September 12, 2003, during the prosecution of European application no. EP 03 25 4067, which corresponds to the above referenced application, and in accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), hereby submit(s) these documents for the Examiner's consideration. These documents are cited on the enclosed PTO Form SB/08.

The undersigned hereby certifies that each item contained in this Information Disclosure Statement was cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter

Application No.: 10/613,303 Docket No.: 30538/38451

filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 30538/38451. A duplicate copy of this paper is enclosed.

Dated: April 1, 2004

Respectfully submitted,

Richard H. Anderson

Registration No.: 26,526

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Complete if Known Substitute for form 1449A/B/PTO 10/613,303-Conf. #4307 Application Number INFORMATION DISCLOSURE Filing Date July 3, 2003 STATEMENT BY APPLICANT Allan Timms First Named Inventor 1626 Art Unit (Use as many sheets as necessary) Not Yet Assigned Examiner Name 2 30538/38451 1 of Sheet Attorney Docket Number

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where			
Initials*	No.1	Number-Kind Code ² (if known)			Relevant Passages or Relevant Figures Appear			
	A1	4,103,015	07-25-1978	Hodson et al.				
	A2	4,385,182	05-24-1983	Fischer et al.				
	A3	4,661,595	04-28-1987	Avar				
	A4	4,505,794	03-19-1985	Kvita et al.				
	A5	4,418,138	11-29-1983	Curtis				

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	B1	GB-1 447 032	08-25-1976	Hodson et al.				
	B2	PCT-WO 98/42697	10-01-1998	Williams et al.				
	B3	EPO-0 520 574	12-30-1992	Green et al.				
	B4	EPO-1 211 252	06-05-2002	Bearson et al.				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	C1	European Search Report dated 12 September 2003 received 29 March 2004 for European Patent Application No. EP 03 25 4067.	
	C2	Annex to the European Search Report dated 12 September 2003 and received 29 March 2004 for European Patent Application No. EP 03 25 4067.	
	C3	Database Crossfire Beilstein 'Online! Beilstein Institut zur Förderung der Chemischen Wissenschaften, Frankfurt am Main, DE; XP002254438.	
	C4	Database Crossfire Beilstein 'Online! Beilstein Institut zur Förderung der Chemischen Wissenschaften, Frankfurt am Main, DE; XP002254439.	
	C5	Database Crossfire Beilstein 'Online! Beilstein Institut zur Förderung der Chemischen Wissenschaften, Frankfurt am Main, DE; XP002254440.	
	C6	Database Crossfire Beilstein 'Online! Beilstein Institut zur Förderung der Chemischen Wissenschaften, Frankfurt am Main, DE; XP002254441.	
	C7	Database Crossfire Beilstein 'Online! Beilstein Institut zur Förderung der Chemischen Wissenschaften, Frankfurt am Main, DE; XP002254442.	
	C8	Database Crossfire Beilstein 'Online! Beilstein Institut zur Förderung der Chemischen Wissenschaften, Frankfurt am Main, DE; XP002254443.	
- 1 11 11 11 11 11 11 11 11 11 11 11 11	C9	Davis et al., "New Synthesis of Thioxanthone and its Derivatives," J. Chem. Soc., vol. 97, 1910, pp. 1290-1299, XP009017242.	
	C10	Lougnot et al., "Water-Soluble Polymerization Initiators Based on the Thioxanthone Structure," Macromolecules, vol. 22, 1989, pp. 108-116, XP001154961.	

Examiner	Date
Signature	Considered

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Substitute for form 1449A/B/PTO				Complete if Known	
				Application Number	10/613,303-Conf. #4307
IN	FORMATION	I DI	SCLOSURE	Filing Date	July 3, 2003
STATEMENT BY APPLICANT				First Named Inventor	Allan Timms
				Art Unit	1626
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	30538/38451

C11	Pouliquen et al., "Functionalized Polysiloxanes with Thioxanthone Side Groups: A Study of Their Reactivity as Radical Polymerizatin Macroinitiators," Macromolecules, American Chemical Society. Vol. 28, no. 24, November 20, 1995, pp. 8028-8034, XP000539427.	
C12	Database Crossfire Beilstein 'Online! Beilstein Institut zur Förderung der Chemischen Wissenschaften, Frankfurt am Main, DE; XP002254444.	
C13	Houben-Weyl, Methoden der organischen Chemie, Band E4: "Kohlensäure-Derivate," Thieme Verlag, Stuttgart, 1983, 64-65, XP002254436.	
C14	Houben-Weyl, Methoden der organischen Chemie, Band VIII: "Sauerstoffverbindungen III," Thieme Verlag, Stuttgart, 1952, 105, XP002254437.	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	
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¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Application No. (if known): 10/613,303

Attorney Docket No.: 30538/38451

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